

PROCESSING OF APPLICATIONS UNDER RESOLUTION 1409(2002)

Statistical update (As at 26 August 2002)

GENERAL STATISTICS

Table 1: General processing statistics as at 26 August 2002

	APPLICATION CATEGORY	59% account		13% account		TOTAL	
		#	US\$	#	US\$	#	US\$
1.	TOTAL Received & registered with OIP*	789	\$2,353.25	227	\$22.5M	1016	\$2,375.25M
2.	Under OIP Review	103	\$366.1M	19	\$3.0M	122	\$369.1M
3.	Non- compliant/Inactive	155	\$931M	3	\$0.25M	158	\$931.25
4.	Under UNMOVIC IAEA Review	63	\$137.6M	9	\$1.9M	72	\$139.5M
5.	GRL non- compliant/ GRL Inactive**	100	\$316M	23	\$4.4M	123	\$320.4M
6.	OIP Approved***	364	\$599.4	169	\$12.7M	533	\$612.1M
7.	GRL Items found	4	\$3.25M	4	\$0.25M	8	\$3.5M

* Includes new applications as well as fully processed OLDHOLDS and "legacy" applications

** Includes OLDHOLDS processed under para 18 and now GRL Non-compliant, as well as "legacy" applications now with the same status

*** Include 121 applications worth \$149M previously on hold and now OIP approved under para 18

NOTE: 1 = 2+3+4+5+6+7

Of 894 applications reviewed by OIP so far (3+4+5+6+7):

736 (82.3%) were cleared and moved to the next processing stage (4+5+6+7)

158(17.7%) awaits response to request for clarifications (3)

Of 664 applications reviewed by UNMOVIC/IAEA so far (5+6+7):

533 (80.3%) contained no GRL items and are now OIP approved (6)

123 (18.5%) awaits response to request for additional tech. Info (5)

8 (1.2%) contained one or more GRL items (7)

Table 2: AVERAGE PROCESSING TIMES
(as at 26 August 2002)

Processing Stage	Average # of Working Days
REGISTRATION (Check and issuance of Comm. number)	1.6
OIP REVIEW	4.5
UNMOVIC/IAEA REVIEW	3.7

Table 3: REASONS FOR NON-REGISTRATION BY OIP
(as at 26 August 2002)

REASON	Frequency of reason as % of total number of reasons
Excel attachment to the Application Form (list of goods) not submitted electronically	27.7%
Old version of the application used for applications submitted after 1 July 2002	23.2%
Total value of line items in Excel attachment differs from total value of the contract	8%
All boxes in the application are not completed/filled in	6.4%
Application identified as a duplicate of another application previously submitted (same contract no.)	6.1%
Electronic Data submitted could not be opened. Accessible copy requested	7%
No contract attached to the application	5%
Certifying seal/signature omitted	2.1%
Original application illegible	2%
All other reasons (missing pages, tech specs, unit of measurement, paperwork in the wrong format, etc.)	13.3%
	100%

Most of the **OIP Non-Compliant** comms lack detail lists of spare parts/tools/sets/kits or require clarification on payment terms and service components.

Of comms that are or have been **GRL Non-Compliant**, only UNMOVIC had additional questions in 107 cases (87%), only IAEA in 9 cases (7.3%) and in 7 cases both UNMOVIC and IAEA had additional questions (5.7%).

APPLICATIONS WITH GRL ITEMS IDENTIFIED (as at 26 August)

Total applications with GRL items identified	(8)	\$3.52M

Total number and value of all line items in applications above	(123)	\$3.52M
Total number/value of GRL items	(14)	\$2.98M
GRL items as percentage of total number of items/value	(11.4%)	85%

Table 4: List of applications with GRL items identified by UNMOVIC/IAEA (as at 26/08/02)

Comm.	Goods	GRL items	Current Status
811207	Vet. Vaccines	PPR live vaccine	Immediate circulation to the 661 Committee requested. Approved on 14/08 under condition of end-use verification.
1130152	Truck/parts	Kenworth Oilfield Truck Model 953	Circulated to the 661 Committee
910230	Truck/parts	FM 12 6x4 Tipper Truck With Hydraulic Crane	Circulated to the 661 Committee
910231	Truck/parts	FM 12 6x4 Tipper Truck	Circulated to the 661 Committee
1002173	Vet. Vaccines	CEVAC NEW L and CEVAC UNI L Newcastle Disease Vacc.	Circulated to the 661 Committee
1101524	Vet. Vaccines	CEVAC NEW L and CEVAC UNI L Newcastle Disease Vacc.	Circulated to the 661 Committee
1101438	Pumps	5 chemical GS PTFE lined pumps	Circulated to the 661 Committee
811226	Vet. Vaccines	Jovac NDV Lasota (Newcastle Disease Vaccine)	Immediate circulation to the 661 Committee requested. Due for approval on 27/08.

PROCESSING OF APPLICATIONS UNDER PARAGRAPH 18 (OLD HOLDS)

<u>Applications on hold (current):</u>	(1,877)	\$4,686.0 million
Category A (current)	(141)	\$ 397.0 million
Category B	(1,736)	\$4,289.0 million
Sent to UNMOVIC/IAEA (cat. B)	(1,561)*	\$3,330.0 million

** Of these, 66 have been null-and-void and 137 returned from UNMOVIC/IAEA with status changed as appropriate*

Returned from UNMOVIC/IAEA

No GRL items	(121)	\$ 149 million
Additional Questions	(16)	\$193.3 million
GRL Items	(0)	\$0

TOTAL REVIEWED	(137)	\$342.3M

Table 5: Previous reasons for hold for 121 applications approved under para 18 (as at 26 August 2002)

Reason for Hold	# of applications	Percentage
WMD/Dual Use	46	38%
Technical specifications required	45	37.2%
1051 "disagreement"	16	13.3%
End-use/user info	9	7.4%
Pending further consideration	5	4.1%
TOTAL	121	100%