

PROCESSING OF APPLICATIONS UNDER RESOLUTION 1409(2002)

Statistical update (As at 09 October 2002)

GENERAL STATISTICS

Table 1: General processing statistics as at 09 October 2002

	APPLICATION CATEGORY	59% account		13% account		TOTAL	
		#	US\$	#	US\$	#	US\$
1.	TOTAL Received & registered with OIP*	1,824	\$6,924.4M	1,279	\$90.4M	3,103	\$7,014.8M
2.	Under OIP Review	122	\$317.2M	139	\$5.0M	261	\$322.2M
3.	Non- compliant/Inactive	434	\$2,523.6M	12	\$14.4M	446	\$2,538M
4.	Under UNMOVIC IAEA Review	146	\$357.3M	35	\$2.4M	181	\$359.7M
5.	GRL non- compliant/ GRL Inactive**	732	\$2,033M	44	\$36.5M	776	\$2,069.5M
6.	OIP Approved***	1,067	\$1,645M	324	\$29M	1,391	\$1,674M
7.	GRL Items found	37	\$48.25	11	\$3.05M	48	\$51.3M

* Includes new applications as well as fully processed OLDHOLDS and "legacy" applications

** Includes OLDHOLDS processed under para 18 and now GRL Non-compliant, as well as "legacy" applications now with the same status

*** Include 121 applications worth \$149M previously on hold and now OIP approved under para 18

NOTE: 1 = 2+3+4+5+6+7

Of 2,842 applications reviewed by OIP so far (3+4+5+6+7):

2,396 (84.3%) were cleared and moved to the next processing stage (4+5+6+7)

446 (15.7%) awaits response to request for clarifications (3)

Of 2,215 applications reviewed by UNMOVIC/IAEA so far (5+6+7):

1,391 (62.8%) contained no GRL items and are now OIP approved (6)

776 (35%) awaits response to request for additional tech. info (5)

48 (2.2%) contained one or more GRL items (7)

Table 2: AVERAGE PROCESSING TIMES
(as at 09 October 2002)

Processing Stage	Average # of Working Days
REGISTRATION (Check and issuance of Comm. number)	1.3 days
OIP REVIEW	3.3 days
UNMOVIC/IAEA REVIEW	3.4 days

APPLICATIONS WITH GRL ITEMS IDENTIFIED (as at 09 October 2002)

Total applications with GRL items identified	(50)	\$78.2M

Total number and value of all line items in applications above	(5,059)	\$78.2M
Total number/value of GRL items	(120)	\$40.2M
GRL items as percentage of total number of items/value	(2.4%)	51.4%

Table 4: Status of applications with GRL items identified by UNMOVIC/IAEA (as at 09/10/02)

TOTAL NO. OF APPLICATIONS and US\$ VALUE	50	\$78.2M
661 Approved	9	\$1.7M
GRL Processing*	19	\$21.9M
GRL Notice	20	\$27.7M
SUBTOTAL	48	51.3M
OIP Approved**	2	\$26.9M

* see breakdown below

** approved by OIP after amendment deleting GRL item(s) was received

Breakdown of "GRL Processing" applications

TOTAL:	(19)	\$21.9M

661 Pending(5)	(1)	\$ 2.0M
661 Pending(10)	(7)	\$ 6.9M
Pending Assessment	(6)	\$ 3.98M
Pending Amendment	(2)	\$ 3.24M
661 Denied	(2)	\$ 5.4M
Reconsideration	(1)	\$ 0.38M

PROCESSING OF APPLICATIONS UNDER PARAGRAPH 18 (OLD HOLDS)

Applications on hold in cat B (currently with UNMOVIC/IAEA):	(711)	\$2,356.5 million
Category A hold (current)	(11)	\$63.8 million
Returned Hold	(164)	\$281 million
Sent to UNMOVIC/IAEA (cat. B)	(2,025)*	\$4,876.8 million

** Of these, 118 have been null-and-void and 50 approved/released by the Committee, 1,146 have been reviewed by UNMOVIC/IAEA and returned to OIP while 711 are still with UNMOVIC/IAEA.*

Returned from UNMOVIC/IAEA

No GRL items	(560)	\$647.4 million
Additional Questions	(556)	\$1,719.6 million
GRL Items	(30)	\$38.9 million
=====		
TOTAL REVIEWED	(1,146)	\$2,405.9 million

Table 5: Previous reasons for hold for 560 applications approved under para 18 (as at 09 October 2002)

Reason for Hold	# of applications	Percentage
WMD/Dual Use	101	18.03%
Technical specifications required	254	45.4%
1051 “disagreement”	80	14.3%
End-use/user info	41	\$7.3%
Pending further consideration	71	12.7%
“Purchase not directly related to the repair of Iraqi oil infrastructure for the purpose of increasing exports”	13	2.3%
TOTAL	560	100%